

# Mail Transfer

- ◊ SMTP (RFC2821) (was RFC821)
- ◊ Simple Command/Response Protocol
  - Client sends a command
  - Server responds
- ◊ Specified in partial (kind of) ABNF

The first step in the procedure is the MAIL command.  
MAIL FROM:<reverse-path> [SP <mail-parameters> ] <CRLF>

The second step in the procedure is the RCPT command.  
RCPT TO:<forward-path> [ SP <rcpt-parameters> ] <CRLF>

# SMTP Specification

```
ehlo      = "EHLO" SP Domain CRLF
helo      = "HELO" SP Domain CRLF
ehlo-ok-rsp = ( "250" domain [ SP ehlo-greet ] CRLF )
           /  ( "250-" domain [ SP ehlo-greet ] CRLF
              *( "250-" ehlo-line CRLF )
              "250" SP ehlo-line CRLF )
ehlo-greet = 1*(%d0-9 / %d11-12 / %d14-127)
           ; string of any characters other than CR or LF
ehlo-line  = ehlo-keyword *( SP ehlo-param )
ehlo-keyword = (ALPHA / DIGIT) *(ALPHA / DIGIT / "-")
           ; additional syntax of ehlo-params depends on
           ; ehlo-keyword
ehlo-param = 1*(%d33-127)
           ; any CHAR excluding <SP> and all
           ; control characters (US-ASCII 0-31 inclusive)
```

- ◊ Allows client to identify itself
  - And allow server to state its capabilities

# SMTP basics

Client	Server	
	Welcome	Bann
HELO client-domain-name	OK	
MAIL FROM:<address>	OK	
RCPT TO:<address>	OK	
DATA	Send	it
E-mail message takes multiple lines so ends with a line that is just a dot .		
QUIT	OK	
	OK	

# Commands and Responses

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- ◊ Commands
  - 4 letters (by convention)
  - space
  - parameters
  - <CR><LF>
- ◊ Responses
  - Single Line
    - 3 digit code
    - space
    - human message
    - <CR><LF>
  - Multiple Lines
    - 3 digit code
    - hyphen "-"
    - human message
    - <CR><LF>
    - same 3 digit code
    - hyphen (if more lines to follow) space otherwise
    - human message
    - <CR><LF>

# Response Codes

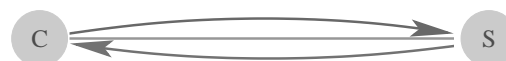
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- ◊ First Digit gives response type
  - 1
    - Information
  - 2
    - All OK
  - 3
    - More input required
  - 4
    - Temporary Error
  - 5
    - Permanent Error
- ◊ Second digit gives specific response type
- ◊ Third digit gives extra information
  - Allows error codes to be distinguished

# File Transfer Protocol (FTP)

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- ◊ FTP uses an unusual communication model
- ◊ Traditional Client/Server (SMTP/DNS/...) :

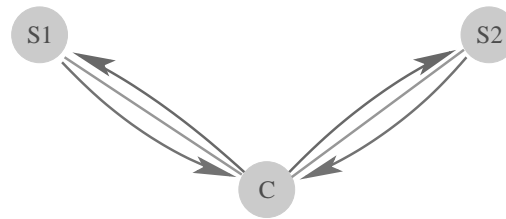


- ◊ **Client and server**
- ◊ **Client opens TCP connection to server**
- ◊ **Client sends commands over connection**
- ◊ **Server sends responses back over same connection**

# FTP Model

- ◊ Client and two Servers

RFC959

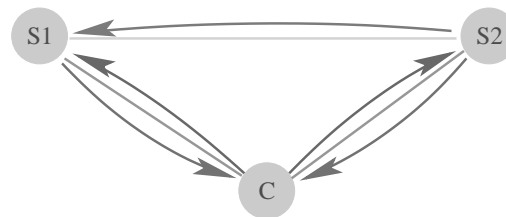


- ◊ Client opens TCP control connection
  - to first server
- ◊ And another TCP control connection
  - to second server
- ◊ Client sends commands to both servers
- ◊ Gets responses from servers

## FTP model (2)

- ◊ Command to one server
  - causes it to open TCP data connection
  - to other server

RFC959



- ◊ Command to server causes it to transfer data over data connection
- ◊ Data transfer can be in either direction

## Common FTP Usage

- ◊ Client and one server in same system



- ◊ Client opens TCP control connection
- ◊ Client sends commands, gets responses
- ◊ Command causes server to open data connection to other server (ie: client)
- ◊ Data Connection opened by either end
  - Depends upon which server client shares
- ◊ Data transfer occurs over data connection
- ◊ Data transfer can be in either direction

## FTP Control Connection

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- ◊ Very similar to SMTP
  - SMTP was once part of FTP
- ◊ 4 letter commands, plus parameters
- ◊ 3 digit (+ message) response
  - Multi-line responses possible
  - Some responses contain information for software
- ◊ Authentication commands
- ◊ Commands to set options
- ◊ Commands to cause data connection setup
- ◊ Commands to cause data transfer to occur

## FTP Data Connection

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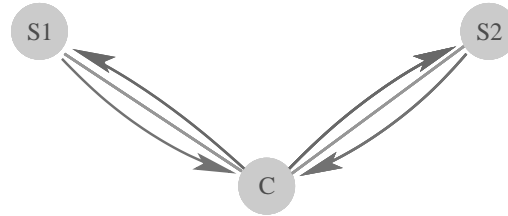
- ◊ Used for "File Data" Only
  - File Data includes process output
    - directory listings
- ◊ Has MODE and TYPE
  - MODE - format of data transfer
    - STREAM
    - PAGE
    - BLOCK
  - TYPE - interpretation of data transferred
    - ASCII
    - IMAGE
    - Logical Bytes (with byte size)
- ◊ Designed to allow like systems to be able
  - to transfer files
  - without reformatting at either end
- ◊ But to also allow dissimilar systems
  - to exchange meaningful information

## Creating Data Connections

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- ◊ Client must tell server location of other server
  - Even if other server is the client
- ◊ And/or must tell other server to expect incoming connection
  - Easy if other server is client
- ◊ Done
  - By requesting address & port number
    - at server to be target of connection
  - By sending address & port number
    - to create a connection

## Data connection method



◊ Client command connections to 2 servers

PASV

◊ Client to server: prepare to receive data

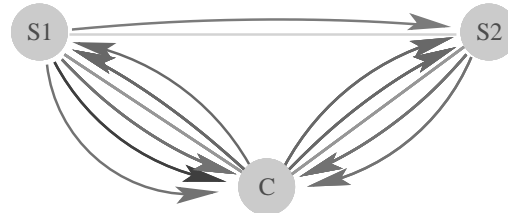
◊ Server replies with its address and port

PORT

◊ Client to other server: use this address

◊ That server remembers value, says OK

## Data connection method (2)



◊ Client tells one server to store a file

◊ Server says OK, and expects incoming data

◊ Client tells other server to send a file

◊ Server opens data connection as requested

◦ sends file

◦ tells client transaction in progress

◊ When complete, server tells client all done

## Active vs Passive

◊ For 2 party FTP

◦ Client is one of the servers

▸ Data transfer to or from client

▸ The normal case

PASSIVE

FTP

◊ Client chooses to send PASV

◦ Then open data connection to server

▸ At address+port server specifies

ACTIVE

FTP

◊ Client chooses to send PORT

◦ Server opens data connection

▸ To client at address+port specified

▸ Always from PORT 20

◊ Active FTP used to be almost universal

◦ Problems caused by firewalls

◊ Now Passive FTP used almost everywhere

▸ Hard to classify FTP data transfer connections

## Problem for IPv6

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- ◊ Address is an IPv4 address
  - Protocol specifies 6 numbers each 0-255
    - 4 give IP address
    - 2 give port number
- ◊ How to extend to IPv6?
- ◊ FOOBAR
  - FTP Operation Over Big Address Records
    - New command LPRT (Long PoRT)
      - replaces PORT
      - give length of address & port
      - any number of bytes
    - And LPSV
      - replaces PASV
      - returns same info

## Problem with NAT

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- ◊ NAT translates addresses in headers
  - Body of message might be encrypted
    - FTP has an encryption extension
- ◊ How to handle addresses in data?
  - No way in simple NAT
  - Requires Application Level Gateway
    - FTP client to ALG in NAT box
    - ALG client of FTP server
      - All hidden from FTP client/server
  - Cannot do authentication/encryption
    - Hidden ALG could not remain hidden
- ◊ Problems caused by addresses
  - PORT command
  - PASV response
    - FOOBAR did not fix this

## Alternative solution

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- ◊ Note that most connections
  - have only client & server
- ◊ Client already knows server IP address
  - v4 or v6
  - It connected to server
- ◊ Server already knows client IP address
  - sees where connection came from
- ◊ No need to transmit IP addresses
  - in most cases
  - Make them optional

# EPRT & EPSV

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## ◊ New command EPRT (Extended PoRT)

- Address type
- Address
- Port
- separated by delimiters
- No fixed lengths
- No need to specify length

## ◊ Compatibility ?

- If unknown at server
  - error code - client uses PORT
- If address type unknown
  - server indicates which types it knows

## ◊ Also EPSV

- Only returns port
  - No addresses
- Require EPSV in 2 party FTP
  - Always use passive mode